

Arun District Council

REPORT TO:	Audit and Governance Committee 28 September 2023
SUBJECT:	Updated Risk Management Framework
LEAD OFFICER:	Antony Baden, Group Head of Finance and Section 151 Officer
LEAD MEMBER:	Cllr Dr James Walsh
WARDS:	All
CORPORATE PRIORITY / POLICY CONTEXT / CORPORATE VISION: The Council's Risk Management Framework is used to govern and guide the Council's approach to risk management. The Risk Management Framework is an overriding document that will be applied corporately in the assessment of risks faced by the Council.	
DIRECTORATE POLICY CONTEXT: The Risk Management Framework will be applied by each directorate in the assessment of risks.	
FINANCIAL SUMMARY: There are no direct financial considerations in the adoption of the framework.	

1. PURPOSE OF REPORT

- 1.1. The purpose of the report is to highlight any amendments to the Council's Risk Management Framework resulting from the annual review.

2. RECOMMENDATIONS

- 2.1. Members of the Audit and Governance Committee are requested to consider and note the updated Risk Management Framework.

3. EXECUTIVE SUMMARY

- 3.1. The Council's Risk Management Framework has been reviewed and updated to take account of changing legislation, government initiatives, best practice and experience gained within the Council.
- 3.2. This report highlights any amendments resulting from the review.

4. DETAIL

- 4.1. The Audit and Governance Committee has oversight responsibility for the Council's risk management arrangements. The Council's Risk Management Framework was adopted by the Committee in November 2022.
- 4.2. The Risk Management Framework is subject to review at least annually to take account of changing legislation, government initiatives, best practice and experience gained within the Council.
- 4.3. The review identified that the current reviewing and reporting requirements of the framework are considered disproportionate to the risk levels. The current requirements have been revised as outlined below:
 - High risks will now be reviewed: as a minimum quarterly (previously monthly).
 - Medium risks will now be reviewed: as a minimum 6- monthly (previously quarterly).
 - Low risks will now be reviewed: as a minimum annually (previously 6-monthly).

The Audit and Governance Committee will continue to receive quarterly reports on the Corporate Risk Register.

- 4.4. Risk entries on the Corporate Risk Register will now be identified, owned, and reviewed by the Corporate Management Team and Group Heads.
- 4.5. The Council's Governance and Risk Group has now been disbanded, reference to this group has been removed from the framework. Periodic scrutiny of individual risk registers is now be captured as part of the reviewing and reporting process undertaken by the Corporate Management Team. The Council's Constitution will be updated accordingly to reflect this.
- 4.6. The updated Risk Management Framework is included as Appendix 1 to this report, changes including those outlined above are highlighted for ease of reference.

5. CONSULTATION

- 5.1. There is no requirement for public consultation in relation to the Risk Management Framework, this is an internal risk management tool to govern and guide the approach to risk management at the Council.

6. OPTIONS / ALTERNATIVES CONSIDERED

- 6.1. Not applicable.

7. COMMENTS BY THE INTERIM GROUP HEAD OF FINANCE/ S151 OFFICER

- 7.1. There are no direct financial implications. However, the Risk Management Framework will assist in the effective management of financial risk.

8. RISK ASSESSMENT CONSIDERATIONS

- 8.1. This report is about Risk Management and all the relevant considerations are contained in the report and Appendix 1.

9. COMMENTS OF THE GROUP HEAD OF LAW AND GOVERNANCE & MONITORING OFFICER

- 9.1. There are no direct legal implications. However the application of a systematic approach to risk will help to identify and manage relevant legal risks.

10.HUMAN RESOURCES IMPACT

- 10.1. There are no direct Human Resources implications.

11.HEALTH & SAFETY IMPACT

- 11.1. There are no direct health and safety implications but the systematic approach to risk management will assist in identifying health and safety implications.

12.PROPERTY & ESTATES IMPACT

- 12.1. There are no direct Property and Estates implications.

13.EQUALITIES IMPACT ASSESSMENT (EIA) / SOCIAL VALUE

- 13.1. There are no direct Equality implications but a systematic approach to risk will help identify equality impacts.

14.CLIMATE CHANGE & ENVIRONMENTAL IMPACT/SOCIAL VALUE

- 14.1. There are no direct Climate Change and Environmental implications. However a systematic approach to risk management will help identify and manage regulatory risks.

15.CRIME AND DISORDER REDUCTION IMPACT

- 15.1. There are no direct crime and disorder implications. However a systematic approach to risk management will help identify and manage regulatory risks.

16.HUMAN RIGHTS IMPACT

16.1. There are no direct human rights implications. However a systematic approach to risk management will help identify and manage regulatory risks.

17.FREEDOM OF INFORMATION / DATA PROTECTION CONSIDERATIONS

17.1. There are no direct crime and disorder implications. However a systematic approach to risk management will help identify and manage regulatory risks.

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BACKGROUND DOCUMENTS:

Audit and Governance Committee report - 29 November 2022

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